

# Trophic interactions drive the emergence of diel vertical migration patterns: a game-theoretic model of copepod communities

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## Abstract

Diel Vertical Migration (DVM), the daily movement of organisms through oceanic water columns, is mainly driven by spatio-temporal variations in light affecting the intensity of predator-prey interactions. Migration patterns of an organism are intrinsically linked to the distribution of its conspecifics, its prey, and its predators, each with their own fitness seeking imperatives. We present a mechanistic, trait-based model of DVM for the different components of a pelagic community. Specifically we consider size, sensory mode, and feeding mode as key traits, representing a community of copepods that prey on each other and are, in turn, preyed upon by fish. Using game theoretic principles, we explore the optimal distribution of the main groups of a planktonic pelagic food-web simultaneously. Within one single framework, our model reproduces a whole suite of observed patterns, such as size-dependent DVM patterns of copepods and reverse migrations. These patterns can only be reproduced when different trophic levels are considered at the same time. This study facilitates a quantitative understanding of the drivers of DVM, and is an important step towards mechanistically underpinned predictions of DVM patterns and biologically mediated carbon export.

**Keywords**— Diel Vertical Migrations, Trait-based ecology, Food-webs, Game theory, optimal strategies

## Introduction

Diel Vertical Migration (DVM) is the daily movement of marine organisms between the surface and deep layers [1]. The most common pattern consists of daytime residency at depth and night-time residency in the upper part of the water column [1]. But this pattern can vary considerably, with respect to residency depths, the time spent at various depths, and the speed of ascent and descent [2, 3]. These variations occur both within and between geographical locations, and the frequent presence of two or more densely populated layers reveals the existence of multiple migrating strategies in communities [1, 4, 5].

Precisely what patterns of DVM emerge in an ecosystem depends on a variety of factors. The type of organisms involved in terms of species [4, 6], their means of detecting prey (e.g. visual or tactile) [7], life stages [3] and size

28 [8, 9] all influence the risk-benefit trade-off that an individual experiences at each depth during day and night,  
29 and thus its optimal DVM strategy. More subtly, the most fit strategy of an individual also depends on the  
30 abundance of the other players in the system – predators, prey and conspecifics – and the variety of strategies  
31 they undertake. With all players seeking to maximise their fitness, it is clear that all DVM strategies within an  
32 ecosystem can be interlocked giving rise to the multiple and varied patterns seen in nature.

33 Open ocean food-webs are usually complex, with a wide size-range of primary producers, zooplankton, and fish.  
34 A study in the California current [8] explored the DVM strategies of copepods and found that the day and night  
35 residency depths of these copepods varied systematically with their size. The smallest copepods, probably too  
36 small to be readily detected by visual predators, remain close to the surface at all times. The largest copepods,  
37 on the contrary, are likely to be conspicuous to predators even at night, and thus remain at depth all the time.  
38 In between, intermediate-sized copepods perform daily migrations of up to a hundred meters. Further, this size  
39 dependent pattern of copepod DVM varied spatially in the California Current in concert with environmental  
40 parameters such as phytoplankton biomass, stratification and water clarity. Understanding quantitatively the  
41 mechanisms behind these migrations patterns is important not only in itself, but also because DVM is a direct  
42 driver of several ecosystem functions. For example, trophic interactions within a food-web are greatly affected by  
43 migration and by the spatial and temporal overlap of predators and prey. Active carbon transport [10] is directly  
44 linked to the migration patterns of the different individuals in the water column, as they actively transport  
45 resources to the depths, providing deeper residents with valuable food resources [11] and ultimately promoting  
46 pelagic - benthic coupling. Assessing different ecosystem functions on a global scale is difficult and estimates are  
47 poorly constrained: for instance, active carbon flux in the North Atlantic ocean was found to vary between 0.3  
48 and  $7.7 \text{ gCm}^{-2}\text{yr}^{-1}$  [12, 13]. The first step to refining such estimates is to better understand the drivers of the  
49 migration patterns and of their variability.

50 In this work, we present a simple framework based on three fundamental traits that determine trophic interactions  
51 in the plankton, namely an organism’s size, whether it is a visual or tactile predator, and its feeding mode as either  
52 an active or ambush predator. We use game theory to assess the equilibrium distribution and daily migration  
53 patterns of all groups simultaneously. Given fixed population sizes, individuals of each population can choose  
54 their position in the water column at day and at night. Their choice does not only affect their own fitness, but  
55 also the fitness of their conspecifics and of individuals from other populations. To our knowledge, this is the first  
56 time that a model recreates diel vertical migration patterns for such a wide spectrum of planktonic organisms.  
57 The model is validated by reproducing observed size-dependent patterns of copepod migrations from different  
58 locations in the California Current Ecosystem [8], and we show examples of how multiple optimal positions in  
59 the water column as well as reverse diel migration may emerge. We believe that this is also the first time that a  
60 mechanistic model is able to reproduce reverse migrations.

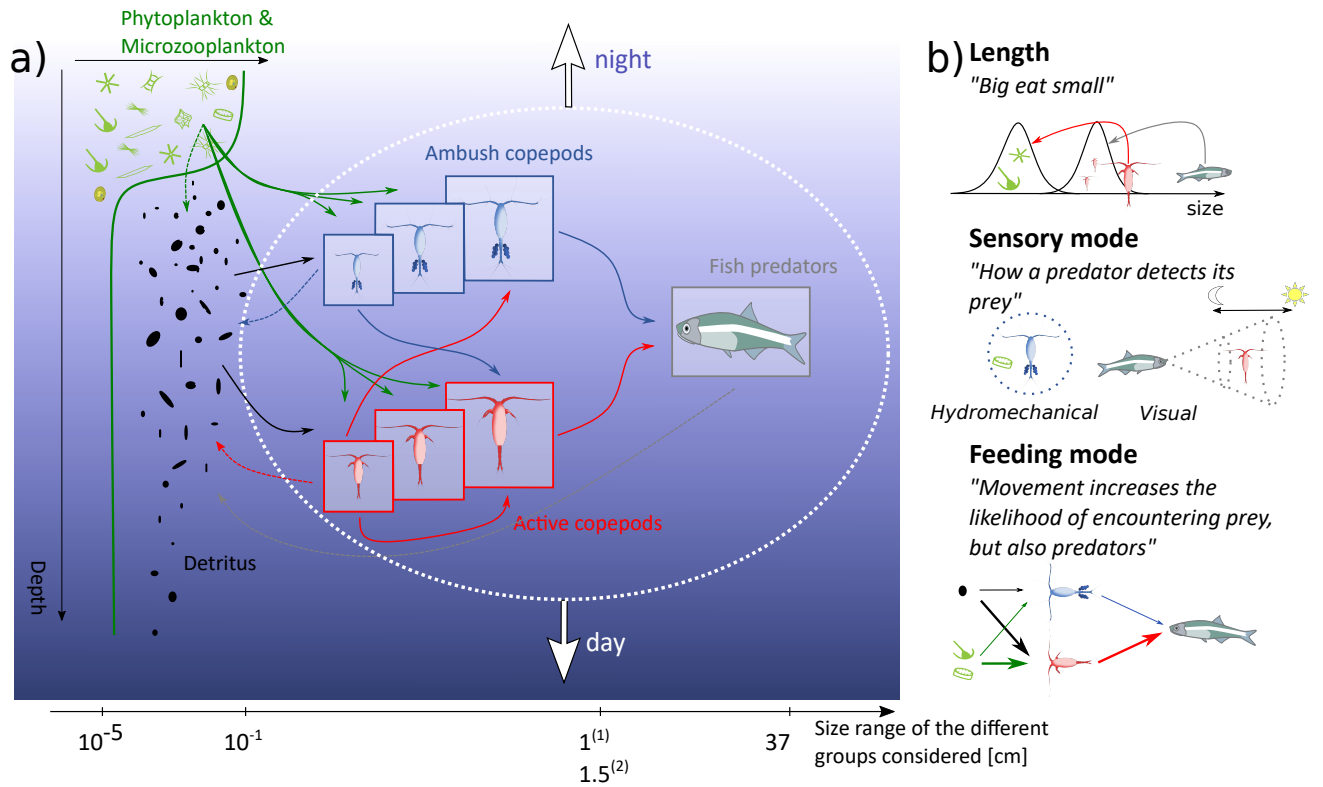


Figure 1: (a) Schematic representation of the model. We consider two classes of copepods, ambush (blue) and active (red) feeding, each sub-divided into  $k$  size classes. Copepods (tactile predators) can feed on phytoplankton, microzooplankton, detritus and smaller copepods. They are preyed upon by larger copepods and fish (visual predators). Note that the arrows indicate interactions but not their intensities, which are dictated by many factors (e.g., environmental conditions such as light levels, abundances of the different groups in the layer considered, size-preference functions). In order to maximise their fitness, all copepods and fish can adjust their vertical position individually at day and at night (example arrows here are for normal migration patterns, but reverse migrations and residency are also possible strategies). <sup>(1)</sup> in the body-length axis is for environmental scenarios 1-5, and <sup>(2)</sup> for environmental scenario 6. (b) The main influences of the three key traits (length, sensory mode, feeding mode) on predator-prey interactions.

## 61 **Methods**

### 62 **Community set-up**

63 The model considers a simple pelagic food-web consisting of phytoplankton, microzooplankton, copepods, and  
64 fish. We include particulate detritus created by the various components of this food web. The copepod community  
65 is divided into two feeding modes (ambush and active feeding), each further divided into a number of size classes  
66 (figure 1 (a)). Copepods can feed on phytoplankton and microzooplankton that are abundant near the surface,  
67 on smaller copepods (depending on size and feeding mode), and on the rain of particulate detritus produced by  
68 the overlaying community. In turn, copepods are predated by larger copepods (again, size and feeding mode rules  
69 apply) and visually feeding fish. Throughout the water column, copepods experience different conditions (con-  
70 centration of phytoplankton, microzooplankton and detritus, light levels) that impact both their access to food  
71 and exposure to predators. Light conditions vary between day and night, and copepods and fish are all allowed to  
72 undertake DVM, each seeking their optimal strategy balancing feeding opportunity against risk. Since population  
73 dynamics are not considered, we make the simplification that the size distribution of organisms follows a Sheldon  
74 spectrum (i.e. equal biomass in groups of equal logarithmic size ranges [14]). We note that the general approach is  
75 not dependent on this assumption, although it does reduce our parameter space in that fixing the phytoplankton  
76 biomass in the water column also fixes the biomass of all other groups. We also make the simplifying assumption  
77 that active feeding copepods constitute about 65% of the smallest size classes (total length 1mm) but almost  
78 100% of the largest (total length 1cm), consistent with observations reported in a copepod database [15] (see S.I.  
79 1 for more details).

80 The vertical distribution of phytoplankton (and microzooplankton) is prescribed with a typical profile - high in  
81 the surface and depleted at depths [16], while all other organisms in the model can adjust their position in the  
82 water column freely at day and at night. The goal of an organism's DVM strategy is to maximise its fitness,  
83 which is defined as a trade-off between growth and mortality [17, 18]. Growth and mortality terms are derived  
84 from feeding interactions between all individuals (figure 1 (a)) that are described mechanistically with simple  
85 assumptions (prey size spectra, visual or tactile predation defining the clearance rates of organisms), see figure 1  
86 (b) for a schematic representation of how traits influence these interactions and S.I. 1 for a complete mathematical  
87 description of these interactions. Growth is equal to the assimilation rate (the ingestion rate modulated by an  
88 assimilation efficiency) minus a metabolic cost and a migration cost. Mortality is due to direct predation and to a  
89 background mortality term (i.e. predation from higher non-modelled trophic levels). Active copepods have a high  
90 encounter rate with prey as they sweep out a greater search volume per unit time than passive copepods that have  
91 to rely solely on the movement of their prey to bring about encounters (figure 1 (a)). Active feeding, however,  
92 has a downside in that it also increases the encounter rate with predators as well as incurring a higher metabolic  
93 cost [19]. Preference functions of active and passive copepods are also different, active copepods generally eating  
94 relatively bigger prey than passive copepods [20]. Moreover, all organisms create detrital particulate material,  
95 which sinks and can be taken up again by flux feeding copepods [21]. All things being equal, the encounter of flux  
96 feeding copepods with detrital material increases with depth due to coagulation that increases particle size and  
97 sinking speed [22]. A comprehensive description of the different rates, interactions and parameters is provided in

## 100 Optimal migration patterns

101 For each group of migrating organisms (i.e., within the same size range and feeding mode; each "organism box" of  
 102 figure 1 (a)), we want to know the proportion that follows each possible strategy  $ij$  (that is, being in water layer  
 103  $i$  during daytime and  $j$  during night-time). To this end, each group  $\lambda$  is allocated a strategy matrix  $\mathbf{n}^\lambda = n_{ij}^\lambda$ ,  
 104 where  $n_{ij}^\lambda$  is the proportion of organisms from the group  $\lambda$  following strategy  $ij$ . The optimal distribution is  
 105 the set of matrices  $\mathbf{n}^{\lambda*}$  that simultaneously maximise the fitness of all groups, with the additional property that  
 106 within each group, the fitness for each occupied strategy (i.e.  $\mathbf{n}^{\lambda*} > 0$ ) is identical and greater than the fitness  
 107 for each unoccupied strategy (i.e.  $\mathbf{n}^{\lambda*} = 0$ ). The optimal distribution of one group is obviously dependent on the  
 108 distribution of all other groups, and the equilibrium of all groups is termed the Nash equilibrium [23]. This equi-  
 109 librium is the point where no individual from any group can change its strategy without decreasing its own fitness.

110

111 The Nash equilibrium is derived using the replicator equation [16, 24]. In short, the replicator equation allows  
 112 each subgroup of individuals following a particular strategy to grow proportionally to its corresponding fitness.  
 113 At each iteration, the biomass of all groups is renormalized to ensure that no biomass is added to the system.  
 114 The algorithm is iterated many times (approx.  $2 \cdot 10^6$ ), until it has converged. Because of the complexity of  
 115 our model, the system reached an attractor but not a perfectly stable equilibrium in the presented simulations:  
 116 some groups exhibited oscillations around an average distribution (see S.I. 1 and figure S2 for more details). As a  
 117 consequence, the results we present are averages of the distributions when the system has converged. Sensitivity  
 118 analyses were performed on the most uncertain parameters (light saturation parameter for fish visual range, slope  
 119 of the size spectrum, depth-dependent detritus preference function, size-dependent proportion of ambush and  
 120 active copepods) of the model to test its robustness (S.I. 1).

121

## 122 Definition of environmental scenarios

123 As a test case, we use size-dependent migration patterns observed in the California Current Ecosystem [8]. We  
 124 created 5 environmental scenarios (ES) corresponding to the environmental conditions encountered at 5 different  
 125 oceanic locations, ordered from clear, oligotrophic conditions to more eutrophic, turbid ones. These environmental  
 126 scenarios corresponded to the oceanic conditions encountered by Ohman and Romagnan at the time and locations  
 127 of their study [8]. They assessed these patterns with a fine depth and size resolution, providing an ideal test of  
 128 the robustness of our model to different oceanic conditions (figure 2 (a)). Between the different scenarios, only a  
 129 few environmental parameters varied: the surface phytoplankton concentration, the depth of the mixed layer  $z_0$ ,  
 130 the extent of the transition zone  $z_m$  from nutrient-rich to nutrient poor waters, the total biomass of the system,  
 131 the light attenuation coefficient, and the surface detritus concentration (table 1). These environmental parame-  
 132 ters match the measured oceanic conditions reported when the migration patterns were observed [8, 25]. A 6<sup>th</sup>  
 133 environmental scenario was created, reflecting conditions observed in a temperate fjord, Dabob Bay (Washington,

134 USA). This environmental scenario is particularly interesting as reverse migration of small copepods was observed  
 135 in this fjord [26, 27]. Tactile predators up to 1.5cm long have been reported there, and we increased our size-range  
 136 of zooplankton for that scenario so that our model matches the observed distribution.

137

Table 1: Glossary of parameters used for the 6 Environmental Scenarios (ES)

Parameter	Unit	Signification	ES 1	ES 2	ES 3	ES 4	ES 5	ES 6
$P_0$	$gC\ m^{-3}$	Phytoplankton surface concentration	0.042	0.16	0.27	0.65	0.74	0.23
$z_0$	$m$	Mixed layer depth	100	100	60	50	50	30
$z_m$	$m$	Sharpness of the transition zone	60	60	30	10	10	10
$k_{light}$	$m^{-1}$	Attenuation coefficient	0.03	0.07	0.1	0.2	0.6	0.1
$SD$	$gC\ m^{-3}$	Surface detritus concentration	0.005	0.02	0.03	0.06	0.1	0.05

## 138 Results

139 The general trends of the DVM patterns of copepods observed in the California Current (figure 2(a), [8]) are  
 140 reproduced by our model (figure 2(b)) under similar environmental conditions (table 1). The smallest copepods  
 141 remain close to the surface (between 0 and 20m) without performing DVM. Their residency in this layer is more  
 142 or less constant across environmental scenarios. The biggest copepods do not migrate either, remaining at depth  
 143 day and night. Their residency varies from more than 400m for the open ocean to less than 100m for the most  
 144 nearshore, turbid location. In between, intermediate-sized copepods (total length between approximately 2 and  
 145 7mm) undertake DVM. The day and night positions of these copepods get closer to the surface as the conditions  
 146 get more eutrophic (i.e. more turbid), and the amplitude of migration also decreases: the biggest migration  
 147 amplitude decreases from almost 100m (offshore-like conditions) to about 10m. With regards to feeding mode, it  
 148 is active copepods that primarily undertake DVM, while passive feeders remain close to the surface at day and  
 149 at night (figure 3).

150

151 Fish on the contrary display different behaviours depending on the conditions, but with very little or no clear  
 152 DVM patterns. For very clear waters (ES 1), they display no major difference in their distribution between day  
 153 and night: they are most numerous close to the surface, and their abundance steadily decreases until 300m, where  
 154 their concentration suddenly becomes very low. In the 2<sup>nd</sup> ES, fish do not exhibit strong DVM either, with  
 155 day and night distributions confined to the upper 120 m with a weak maximum at around 80–100m deep. ES 3  
 156 displays a peak concentration around 30m, and decreases up to 100m, beyond which the concentration is almost  
 157 null. The distribution of fish in ES 4 shows a peak at the surface before a strong decline, but with day-night  
 158 differences: the day distribution is bimodal, with a second (lower) maximum around 80m. At night, fish that are  
 159 part of this second peak migrate up between 0 and 50m. In the last environmental scenario, fish mainly remain  
 160 close to the surface, and a few remain day and night at depths up to 50m.

161 For the environmental scenario 6, three migration regimes are observed: no migration patterns and resi-

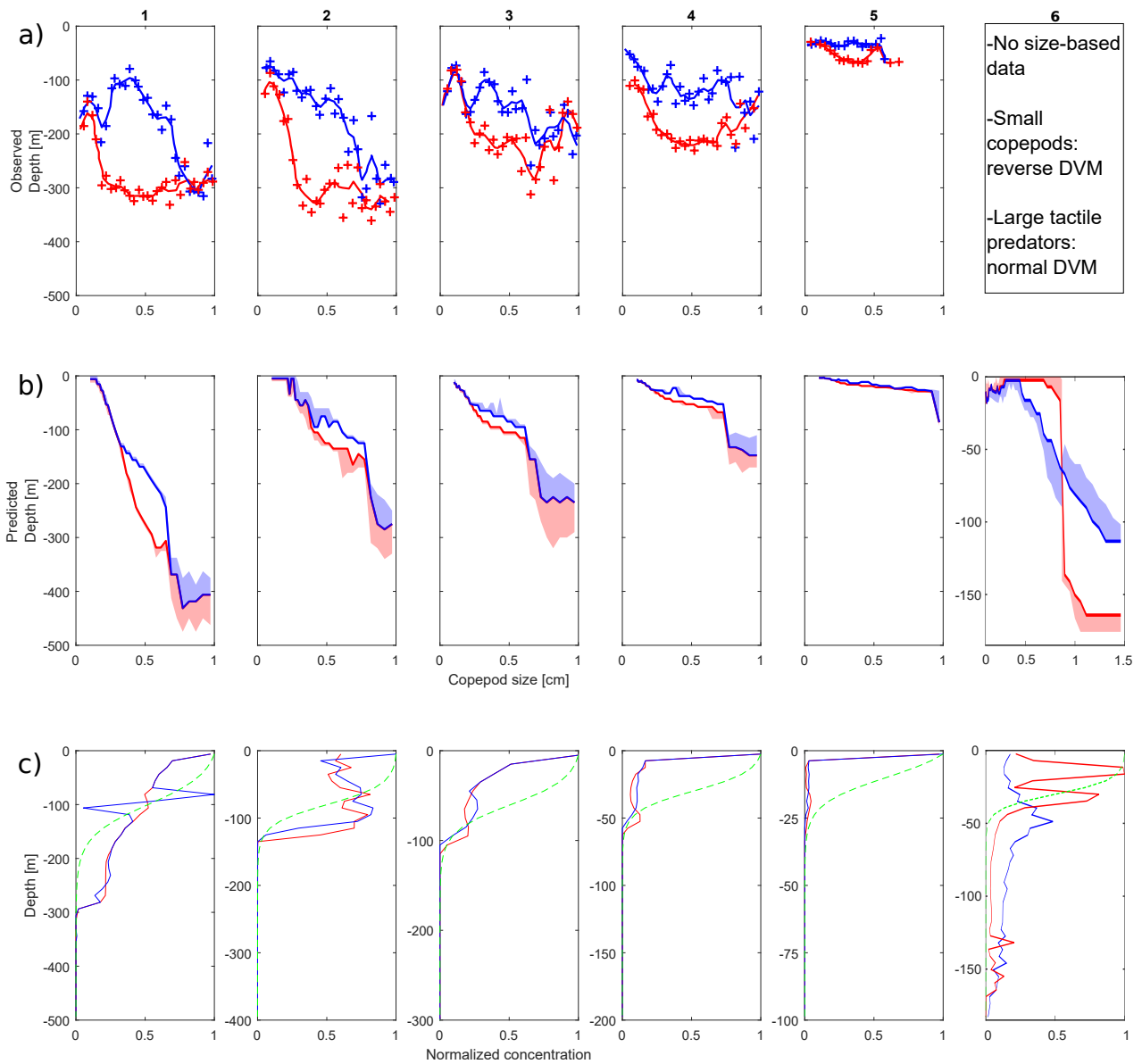


Figure 2: a) Weighted mean depth as a function of copepod body size by day (red) and night (blue) at five different locations in the California Current. Points indicate means of duplicate profiles, and lines loess fits. Migration patterns are arranged from offshore clear water (left) to onshore more turbid waters (right). Data redrawn from Ohman and Romagnan 2016 [8]. b) Simulated size-dependent median (and 1<sup>st</sup> and 3<sup>rd</sup> quartile—shaded areas) position of copepods at day (red) and night (blue) for conditions similar to the ones of the 5 experimental migration patterns of panel a. c) Simulated day (red) and night (blue) normalized fish distribution as well as prescribed normalized abundance of phytoplankton and microzooplankton (dashed green) in the water column. Note the change in vertical axis scale in the different figures of panel c.

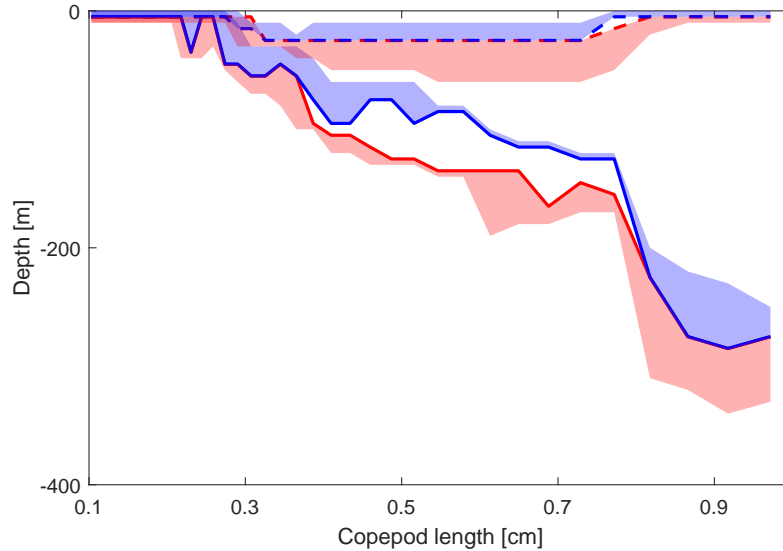


Figure 3: Modelled day (red) and night (blue) distribution of active and passive copepods in ES 2. Active copepods exhibit DVM (solid lines), while passive copepods remain at the surface (dashed lines).

162 dency close to the surface for the smallest organisms (between 0.1 and 0.4cm), reverse migration patterns for  
 163 intermediate-sized organisms (between 0.4 and 0.8cm), and normal DVM patterns for the biggest ones (0.8 to  
 164 1.5cm). Note that no residency at depth was found here for the biggest organisms.

## 165 Discussion

166 Our model successfully recreates the migration trends observed for different size classes of interacting copepods  
 167 across a gradient of environmental conditions [8, 26]. The few discrepancies between observed and modelled  
 168 patterns can be due to simplifications in our setup or uncertainties in the model parametrisation. For example,  
 169 our vertical structure of phytoplankton and microzooplankton distribution near the surface is highly idealised. In  
 170 particular, it is relatively common that the maximum phytoplankton abundance (and indeed maximum primary  
 171 production) is sub-surface [28, 29]. The maximum depth available to fish (and probably to large copepods) could  
 172 also be limited by an Oxygen Minimum Zone [4]. Further, we opted for a simple myopic fitness measure [30]  
 173 and we do not consider ontogeny; but different life stages have different fitness goals, and their fitness measure  
 174 varies accordingly. Despite these simplifications, our model captures the DVM behaviours of a full community  
 175 only driven by environmental conditions and trophic interactions.

176

### 177 Trophic interactions as a DVM driver

178 To our knowledge, only two previous mechanistic models investigated DVM for more than one trophic level with  
 179 a fine depth resolution, and both considered zooplankton prey interacting with planktivorous fish [16, 31]. Pinti  
 180 and Visser [16], in agreement with our study, found that zooplankton have three main migration regimes: sur-  
 181 face residency under low predation pressure, DVM under intermediate predation pressure and residency at depth



182 under high predation pressure. However, when the food-web becomes more complex (e.g. figure 2), it can be  
183 difficult to judge which organisms will have the highest predation pressure, and, thus, which migration regimes  
184 will emerge. Nevertheless, it is clear that organisms can only remain at depth if there is food available there. In  
185 our case, sinking detritus provided the largest copepods with the resources they need to survive at depth without  
186 migrating (cf. sensitivity analysis in the supplementary material). DVM patterns of fish are also very different  
187 from those reported in this previous study and in many field studies (see e.g. [6, 32]): fish generally exhibit  
188 a much more pronounced DVM than our results show. This may be because DVM of forage fish is not only  
189 driven by prey abundance but also by their predators. While zooplankton in our model are effectively subject  
190 to frequency-dependent mortality (i.e. a dense layer of prey attracts more predators), fish are not subject to the  
191 same process, being exposed only to a constant mortality risk representing top predators. The absence of these  
192 potentially migrating top predators in our simulations may explain why only few fish undertake DVM. Planktiv-  
193 orous fish DVM is here only triggered by a bottom-up approach, and adding explicitly top predators may induce  
194 a stronger DVM response due to an added top-down control.

195

196 There have been only a few observational studies of DVM distinguishing between the multiple groups or  
197 species within (and across) different layers. In the Atlantic, it was found that multiple densely populated layers  
198 were mainly due to different species of fish and cephalopods having distinct DVM behaviours [6]. In contrast,  
199 multiple dense layers in the St-Lawrence were due only to krill [5] that could switch between the different layers,  
200 suggesting that they had the same fitness in all these layers, possibly because of the presence of different prey (as it  
201 is the case for fish in our study). Organisms from very similar species can have different migration strategies [33],  
202 and organisms from the same species can have completely different DVM patterns—e.g. normal and reverse—in  
203 the coastal or open ocean [34]. Also, while many copepods migrate, a substantial proportion of the community  
204 can remain resident at depth day and night, for example in the North East Atlantic [35] or in the Irish Sea [34].  
205 A common rule validated by our study is that bigger organisms migrate deeper [3, 33, 34] and that the large  
206 organisms usually do not migrate at all [33, 34]—even though the contrary is possible [36].

207

208 The biggest copepods, resident at depth, require a resource at depth to be able to survive. We hypothesised  
209 that this resource is a flux of detritus produced by the discard of the overlying zooplankton community. While  
210 other non-modelled sources of food (extra zooplankton, benthic organisms in shallow water columns) might also  
211 play a role, our modelled particle flux is sufficient to support these big copepods at depth. In this, the coagulation  
212 process by which small detrital particles coalesce into larger faster sinking particles was an important factor to  
213 provide a sufficient flux to fuel the residency of the biggest copepods at depth. Model runs where this process  
214 was not included failed to replicate the non-migratory deep-residence of large copepod (cf. sensitivity analysis of  
215 the depth-dependent detritus preference function in the supplementary material) suggesting that their migration  
216 patterns may be substantially different in areas where detritus does not coagulate or where it gets broken down  
217 quickly.

218

219 An important feature highlighted by this study is that trophic interactions can lead to distinctly different

220 DVM patterns for different organisms within the same water column, providing a possible explanation for the  
221 multi-modal distributions that are consistently observed in the world ocean basins [1], but also for singular pat-  
222 terns such as reverse migrations. Our study focused on a few oceanic conditions where size-dependent DVM  
223 patterns are known, but we believe that provided with the correct set of environmental parameters the model  
224 could very well reproduce other patterns, and they should be investigated more systematically. For example, if  
225 the largest copepods consistently remain at depth, global estimates of DVM-mediated carbon exports could be  
226 very biased if the entire community is thought to behave alike (such as in [10]). In a context of global change  
227 where copepods are likely to become smaller in general [37–39], our model indicates that active carbon exports  
228 by migrating copepods could decrease if the abundance of intermediate-sized copepods migrating vertically de-  
229 creases as well. But many other factors have to be taken into account (such as higher metabolism at increased  
230 temperatures), and the future of active carbon transport by zooplanktonic migrants remains uncertain [40, 41].

231

### 232 **Trait-dependent DVM**

233 Size is often recognised as a master trait [42], governing the main vital rates of organisms [43] as well as trophic  
234 interactions, and thus greatly influencing DVM patterns of plankton.

235

236 In addition to size, feeding mode shapes trade-offs that are different enough to trigger very different behaviours  
237 (figure 3); while active feeding copepods migrate, passive (i.e. ambush) feeding copepods remain resident at the  
238 surface. We are not aware of any study that looked specifically at the relation between DVM and feeding mode,  
239 but a few studies investigating DVM patterns at the species level corroborate our findings [33, 34, 44]: active  
240 copepods predominantly perform DVM, while ambush copepods tend to remain resident at a particular depth.  
241 In areas where a particular species dominates (active or passive feeder) this difference could cause very different  
242 migratory patterns at the community level, impacting in turn the magnitude of the biological pump.

243

244 Further, male and female copepods seem to have a different behaviour [35]. It is unclear if this difference is  
245 only due to a significant size difference between males and females changing their respective trade-offs, or if the  
246 trade-off itself is different irrespective of size, for example because of different reproductive investments. In many  
247 calanoid species, males feed less than females (or not at all) [45] and can thus remain deeper. In egg-carrying  
248 species, females do not risk only their own lives but also their offspring’s, and seem to remain deeper than the  
249 individuals without eggs [46].

250

251 Traits and trait-based approaches are proving more and more useful to solve complex issues in marine ecosys-  
252 tems [42]. Predator-prey interactions often occur between many different species simultaneously, and trait-based  
253 approaches can be particularly useful in these cases as they can reduce the system complexity significantly. For ex-  
254 ample, trait-based approaches allow drawing conclusions on predator-prey interactions in little-studied ecosystems  
255 such as fresh waters [47], considering complex feeding interactions between marine mammals and many different  
256 prey species [48], predicting the outcome of potential invasive species in coral reefs [49], as well as estimating

257 ecosystem functions at an oceanic scale [50].

## 258 **The role of light and proxies of DVM**

259 Light levels are of great importance for the depth distribution of organisms in the water column [51], but the  
260 underlying mechanism is quite uncertain [52, 53]. Several studies explored the role of light in triggering DVM,  
261 mostly through the isolume hypothesis: zooplankton always remain at a constant light level [53]. However, studies  
262 considering this hypothesis only partially validate it, either because zooplankton follow the isolume only during  
263 part of the day [52] since they cannot keep up with a rapid change in light intensity [54], or because only organ-  
264 isms of particular stages and species follow an isolume [55]. The isolume hypothesis also fails to explain the quick  
265 response of zooplankton to the presence of predators in the water column [56], the emergence of reverse migration  
266 patterns, or even the presence of complete residency at certain depths. While light is a very important driver of  
267 DVM [53], and can be an important sensory proxy for migrating animals, it cannot be considered its only driver  
268 without oversimplifying the DVM process.

269

270 Variations in the light attenuation coefficient alone could not explain the differences between the five environ-  
271 mental scenarios from the California Current. A combination of different factors such as productivity and mixed  
272 layer depth is important when it comes to understanding the observed patterns. For high food abundance for  
273 instance, copepods can reduce their foraging time or feed in somewhat deeper waters, reducing their presence in  
274 light waters and thus their mortality risk. Abundance of food reduces the need for DVM. Our study emphasises  
275 the importance of prey and predator abundance as drivers of DVM patterns, but a justification on how organisms  
276 effectively sense and react to such abundance changes remains to be found.

277

## 278 **Alternative defence mechanisms for pelagic organisms**

279 While DVM is a key defence mechanism against predation in the pelagic, alternative defence strategies also exist.  
280 For example, schooling is seen as a way to decrease predation risk [57], and can thus be understood as a potential  
281 way to overcome the need for DVM. Schooling behaviours can also be adopted in complement to DVM, and often  
282 depend on the period of the day. Fish tend to disaggregate at night [58, 59], sometimes in concert with an upward  
283 migration [59]. However, schooling is not always an appropriate strategy, as it can also attract predators and  
284 increase predation pressure [60]. But this very fact may also attract the predator's predator, a process known as  
285 indirect trophic interaction. Piscivorous fish have been reported to patrol within krill swarms, on the look-out  
286 for potential planktivorous fish attracted by the swarms [61]. Here, the krill strategy can be compared with a  
287 strategy of reverse diel vertical migration: in both cases, the association with a dangerous area or species for  
288 predators offers some protection against them.

289

290 Moreover, we consider only two periods during a day: daytime and night-time. But light levels between these  
291 two periods vary smoothly, and this can provide fish with an extra incentive to change their distribution during  
292 transitions between day and night. Transition periods are risky for copepods whose visibility would suddenly

293 increase if they do not time their ascent or descent optimally with light levels. Feeding rates increase tremen-  
294 dously at dawn and dusk [31], and for some fish up to 90% of the feeding has been found to take place during  
295 these transition times [62]. Thus, bigger copepods suffer a higher mortality risk at dawn and at dusk than smaller  
296 ones, and the smaller copepods spend significantly more time at the surface than their bigger counterparts [63].  
297 We decided not to include this extra complexity in the model, as it would increase computation time tremendously.

298  
299 While DVM is one strategy that seeks to balance feeding opportunity with risk, other strategies, often used in  
300 concert with DVM, are also found. For instance, as visual predation is a main driver of copepod DVM, organism  
301 transparency can offset part of the risk of feeding at the surface. This is a strategy followed by some otherwise  
302 transparent species which migrate in tune to their gut fullness; digesting a meal at depth and returning to the  
303 surface to feed with an empty gut [52, 64]. Alternatively, some zooplankton species are adapted to survive in low  
304 oxygen conditions. Indeed, OMZs (Oxygen Minimum Zones, zones depleted of oxygen where most fish cannot  
305 survive for long [65]) are present in some marine ecosystems, primarily in upwelling regions. Copepods adapted  
306 to low oxygen conditions can take refuge in OMZs shallower than their "normal" migration depths [4, 66], thus  
307 remaining inaccessible to predators non-adapted to low oxygen conditions while shortening their DVM amplitude.  
308 This can explain why our copepod distributions in the clearest environmental scenarios (1–2) are more spread  
309 than observed in the California Current [4, 8]. Being in cold waters at depth also reduces metabolism [67] and is  
310 thus a way to increase fitness [68]. The internal state of a copepod can also be an incentive to migrate or not:  
311 a starving organism may be willing to face more risk and go closer to the surface than one with a full stomach,  
312 or additionally, an organism with a full gut would be more conspicuous to a visual predator than one with an  
313 empty gut. This idea gave rise to the foray hypothesis [69]: if a copepod already has a full stomach there is no  
314 benefit in staying at the surface. The migration patterns of an individual then depend on the time it takes the  
315 individual to fill and empty its stomach, and will consist of many ascents to feeding areas followed by descents  
316 into safe depths as soon as its stomach is full.

317

## 318 Conclusion

319 Using game theory, we have shown that DVM patterns of zooplankton and planktivorous fish do not depend only  
320 on light levels and environment conditions but also on the structure of the pelagic food-web. Within a single  
321 framework, we provide an explanation for the wide variety of migratory patterns observed in nature, including  
322 multi-modal distributions and reverse migrations [1, 26]. To our knowledge, this is the first time that a model  
323 provides such an explanation, and the first time that a model provides DVM patterns for so many different  
324 organisms at the same time. It shows the importance of considering trophic interactions when modelling DVM  
325 in general.

326 Understanding and quantifying the drivers of vertical migratory behaviours under different oceanic conditions is  
327 vital as it can lead to more precise estimates of DVM patterns worldwide, and of carbon actively transported  
328 to the depths by migrating organisms, which can be a substantial part of the carbon pump [10, 12]. It is clear

329 from this work that all aspects of the marine food-web are implicated in this active transport, not just as primary  
330 agents but also in setting the environmental parameters which govern DVM and its associated flux. How climate  
331 change will affect the emergent community structure of marine ecosystems and its cascading effects for the active  
332 carbon flux remains an important question for Earth system research.

## 333 **Data, code and material**

334 The source code (written in MATLAB) supporting this article has been uploaded as part of the supplementary  
335 material.

## 336 **Competing interests**

337 The authors declare to have no conflict of interest.

## 338 **Author's contributions**

339 J.P., T.K. and A.W.V designed the study; J.P. conceptualized the model with help from U.H.T. and A.W.V.; J.P.  
340 coded the model; J.P. analyzed the results with the help of all authors. J.P. wrote the paper with contributions  
341 from all authors.

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